

RESEARCH STRATEGY COMMITTEE

PhD by Published Work

Unless otherwise stated in these regulations, the over-arching Research Degree Regulations apply.

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- **1.2** Candidates may register only for a PhD by publication. The option of MPhil by publication is not open.
- **1.3** -reviewed journals; books or book chapters; consultancy reports (where these are in the public domain); creative works; patents; or any other works of scholarly or professional

control. It must be traceable through ordinary catalogues, abstracts or citation indices or otherwise can only be submitted if it has been through the review / editorial process and has definitely been accepted. Students may not graduate until all work has been published.

2 Application

- 2.1 Applicants must be a current member of staff of the University. Applicants should discuss the possibility of registering on the award with their Dean of School as part of Performance Enhancement Review. Early career researchers might wish to discuss the possibility of working towards the PhD by publication as a means of directing their research activity, but should be counselled against applying formally until ready.
- **2.2** Applicants must submit an application to the Secretary of the Research Strategy

- **3.3** Regular meetings should be held. The supervisor should keep notes of the meetings and any actions agreed.
- **3.4** If the supervisor is absent for more than three months, or is expected to be absent for more than three months, a new supervisor must be appointed.
- **3.5** If the student has concerns or difficulties about the supervisory relationship, he or she should contact the Dean of School. The Dean is responsible for ensuring the appropriateness of supervision.
- **3.6** For the purposes of the Research Degree Regulations, supervision of a PhD by Publication candidate shall count equally with other doctorate supervision.

4 Registration

- **4.1** To be formally registered, the student must present a prima facie case to the Scrutiny Committee within three months of initial matriculation.
- **4.2** The Scrutiny Committee will be formed of members of the Research Strategy Committee. The Scrutiny Committee will not necessarily be subject experts but may seek the advice of an external reviewer as required.

4.3 The applicant must provide:

A list of the publications/works on which the application is based.
One copy of each publication.
Written statements from co-authors regarding the nature of individual contribution (as far as possible).
A supporting statement of approximately 2000 words, making the case for registration. For each piece of output a brief statement must be made outlining (a) contribution to the work

- **4.7** Once registration has been approved, the student has one year to submit the critical appraisal. Fees may be charged if appropriate. Any decision to waive or partially waive fees is the responsibility of the Dean of School. The full fee will be equivalent to the annual part-time fee for a research student.
- **4.8** Decisions to accept students for registration do not guarantee a successful outcome.
- 4.9 Where registration is refused, the Scrutiny Committee must supply the applicant with a

- **5.7** Where minor amendments are specified, these should be dealt with in accordance with Section 9 of the Research Degree Regulations.
- **5.8** Resubmission shall be allowed where the examiners are satisfied that the candidate broadly meets the criteria relating to an independent researcher but are either dissatisfied with the quality of the critical appraisal or feel that additional publications are required. The examiners must specify whether or not an additional oral examination will be required.
- 5.9 A student who fails may not reapply until a minimum of three years after the examination.
- **5.10** Following award, and prior to graduation, one bound and one electronic copy of the critical appraisal must be lodged in the library. Copies of the supporting publications should be bound with the hard copy.